

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: JDS Uniphase Corporation

Application No./Patent No./Control No.: 6,654,400 Issue Date: November 25, 2003

Entitled: METHOD OF MAKING A TUNABLE LASER SOURCE WITH INTEGRATED OPTICAL AMPLIFIER

JDS Uniphase Corporation, a Corporation
(Name of Assignee) (Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title, and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Inventors To: Agility Communications, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 011366, Frame 0706, or for which a copy thereof is attached.

2. From: Agility Communications, Inc. To: Agility Communications, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 013131, Frame 0904, or for which a copy thereof is attached.

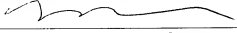
3. From: Agility Communications, Inc. To: JDS Uniphase Corporation
The document was recorded in the United States Patent and Trademark Office at
Reel 021266, Frame 0423, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature
Matthew Fawcett

Printed or Typed Name
SVP & General Counsel

Title

November 11, 2003

Date
408-546-5489

Telephone Number

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.